



PATENT  
Attorney Docket No. 3330.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David A. Balaban

Serial No: 09/697,877

Filing Date: October 26, 2000

Title: **METHODS FOR MONITORING  
THE EXPRESSION OF ALTERNATIVELY  
SPLICED GENES**

Examiner: Unknown

Group Art Unit: 1645

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MAR 30 2001

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Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)**

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Dated: March 26, 2001

Respectfully submitted,



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GAU 1645  
2004-03-01

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/697,877
		Filing Date	October 26, 2000
		First Named Inventor	David A. Balaban
		Group Art Unit	1645
		Examiner Name	Unknown
Total Number of Pages in This Submission	3	Attorney Docket Number	3330.2

ENCLOSURES (check all that apply)			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  PTO Form 1449 citing 2 references	RECEIVED MAR 30 2001 TECH CENTER 1600/2900
<input type="checkbox"/> Remarks			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Wei Zhou, Reg. No. 44,419
Signature	
Date	March 26, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text" value="March 26, 2001"/>			
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Signature		Date	March 26, 2001

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U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.	Serial No.			
				3330.2	09/697,787			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant:				
				David A. Balaban				
				Filing Date	Group			
				October 26, 2000	1645			
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,800,992	09/01/98	Fodor et al.	435	6		
	AB	6,040,138	03/21/00	Lockhart et al.	435	6		
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
Foreign Patent Documents							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
	AR							
	AS							
Examiner		Date Considered						
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